1006 Rec'd PCT/P10

ATTORNEY'S DOCKET NUMBER

MIPFP177

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE FORM PTO-1390 (Modified) REV. 2-2005)

Transmittal, Check, Return Receipt Postcard

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37

INTERNATIONAL APPLICATION NO. PCT/JP2004/003603

INTERNATIONAL FILING DATE 17 March 2004 (17.03.2004) PRIORITY DATE CLAIMED 19 March 2003 (19.03.2003)

TITLE OF INVENTION IMAGE PROCESSING DEVICE AND IMAGE PROCESSING METHOD FOR PERFORMING CONVERSION OF COLOR IMAGE DATA APPLICANT(S) FOR DO/EO/US Toshiaki KAKUTANI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. \boxtimes This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. The US has been elected (Article 31). \bowtie \boxtimes A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. a. 🗍 is attached hereto (required only if not communicated by the International Bureau). b. 🔯 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). \boxtimes is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. 🗆 are attached hereto (required only if not communicated by the International Bureau). b. П have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🖾 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9 An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. 15. П A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). \boxtimes 22. Express Mail Label No. EV643650509US 23. Other items or information:

JC12 Rec'd PCT/PTC 1 6 SEP 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | | | ATTORNEY'S DOCKET NUMBER | | | | |
|---|---|-----------------|--|--------------------------|----------|-----------------|---------------|---------|
| 10/549663 PCT/JP2004/003603 | | | | 03 | MIPFP177 | | | |
| The following fees are submitted: | | | | | | | CALCULATIONS | PTO USE |
| 24. 🛛 Basic n | ational fee | | | | | \$300 | \$ \$300.00 | |
| 25. 🛮 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | | . \$100 | \$ \$200.00 | |
| 26. Search fee | | | | | | | \$ \$500.00 | |
| TOTAL OF 24, 25 and 26 = | | | | | | \$ \$1,000.00 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | |
| Total Sheets | Extra Sheets | | umber of each additional 50 or RATE tion thereof (round up to a whole | | | RATE | | |
| 92 - 100 = | 0 /50 = | | 0 | | × | \$250.00 | \$ \$0.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | m the | \$ | |
| CLAIMS | NUMBER F | ILED N | IUMBER EXTRA | <u> </u> | RA | TE. | | |
| Total claims | 45 | - 20 = | 25 | х | | \$50.00 | \$ \$1,250.00 | |
| Independent clai | ms 6 | - 3= | 3 | x | | \$200.00 | \$ \$600.00 | |
| MULTIPLE DEPI | ENDENT CLAIMS | (if applicable) | ⊠ | + | | \$360.00 | \$. \$360.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | | \$ \$3,210.00 | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | | | \$ \$0.00 | |
| SUBTOTAL = | | | | | | | \$ \$3,210.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ \$0.00 | | |
| TOTAL NATIONAL FEE = | | | | | | \$ \$3,210.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | | | \$ \$0.00 | | |
| TOTAL FEES ENCLOSED = | | | | | | \$ \$3,210.00 | | |
| | | | | | | | Amount to be | \$ |
| | | | | | | | Amount to be | \$ |
| a. 🛛 A check in the amount of \$\$3,210.00 to cover the above fees is enclosed. | | | | | | | | |
| | b. Please charge my Deposit Account No in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | |
| c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0805/MIPF177. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | PALL A | | |
| Peter B. Martine SIGNATUR | | | | | | | | |
| Martine Penilla & Gencarella, LLP Peter B. N | | | | | | I artine | | |
| 710 Lakeway Drive, Suite 200 Sunnyvale, California 94085 NAME | | | | | | | | |
| Phone: (408) 749-6902; Fax: (408) 749-6901 32,043 | | | | | | | | |
| CUSTOMER NO. 25920 REGISTRA | | | | | | TION NUMBER | | |

JC05 Rec'd PCT/PTO 16 SEP 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re t | he application of |) | |
|---------|-------------------------------------|---|--------------------------|
| | |) | Examiner: Unassigned |
| Toshi | aki KAKUTANI |) | |
| | |) | Art Unit: Unassigned |
| Appli | cation No. [to be assigned] |) | |
| | |) | Docket No. MIPFP177 |
| Intern | ational Filing Date: March 17, 2004 |) | |
| | |) | Date: September 16, 2005 |
| For: | IMAGE PROCESSING DEVICE AND |) | |
| | IMAGE PROCESSING METHOD FOR |) | |
| | PERFORMING CONVERSION OF |) | |
| | COLOR IMAGE DATA |) | |

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on September 16, 2005 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number EV643650509US, addressed to the Commissioner for Patents, Mail Stop PCT, Alexandria, VA 22313-1450.

Diane Schwanbeck

TRANSMITTAL OF DOCUMENTS FOR FILING UNDER 35 U.S.C. § 371

Mail Stop: PCT

United States Patent and Trademark Office

Post Office Box 1450

Alexandria, VA 22313-1450

Śir:

Applicant hereby transmits the following documents for the above-identified patent application:

- 1. Transmittal Letter to the United States Designated/Elected Office;
- 2. Combined Declaration and Power of Attorney;
- 3. Assignment and Assignment Recordation Cover Sheet;
- 4. English Translation of as-filed international application; and
- 5. A check in the amount of \$3,210.00.

Applicant believes that no further fees are due in connection with the filing of the above-listed documents. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0805 (Order No. MIPFP177).

Respectfully submitted,
MARTINE PENILLA & GENCARELLA, LLP

Peter B. Martine Reg. No. 32,043

710 Lakeway Drive, Suite 200 Sunnyvale, CA 94085 (408) 749-6900 Customer No. 25920